

6d strings from new 2d chiral gauge theories

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Talk based on collaboration with

Hee-Cheol Kim, Jaemo Park, “6d SU(3) self-dual strings,” work in preparation.

& more works in progress.

Related background materials:

6d self-dual strings:

Haghighat, Iqbal, Kozcaz, Lockhart, Vafa, “M-strings” 1305.6322

Joonho Kim, SK, Kimyeong Lee, Jaemo Park, Vafa, “Elliptic genus of E-strings” 1411.2324

Haghighat, Klemm, Lockhart, Vafa, “Strings of 6d minimal SCFTs” 1412.3152

Gadde, Haghighat, Joonho Kim, SK, Lockhart, Vafa, “6d string chains” 1504.04614

Joonho Kim, SK, Kimyeong Lee, “Higgsing towards E-strings” 1510.03128

6d SCFTs from F-theory:

Morrison, Taylor, “Classifying bases for 6D F-theory models” 1201.1943

Heckman, Morrison, Vafa, “On the classification of 6D SCFTs ... ” 1312.5746

Heckman, Morrison, Rudelius, Vafa, “Atomic classification of 6D SCFTs” 1502.05405

6d SCFTs from F-theory

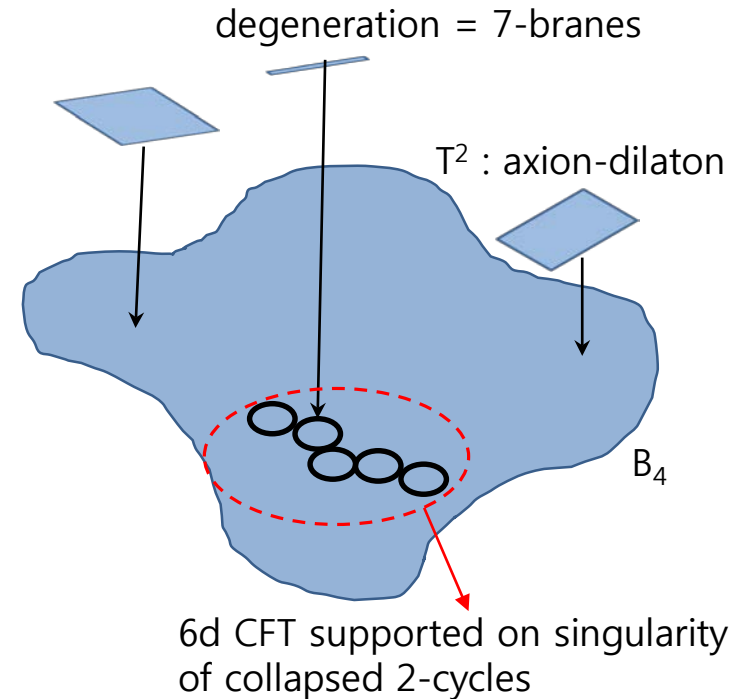
- 6d SCFTs from F-theory on $R^{5,1} \times (\text{elliptic } CY_3)$
- “Atomic classification” by going to tensor branch

$$B_{\mu\nu} \text{ with } H = dB = \star dB, \quad \Psi^A, \quad \boxed{\Phi} \rightarrow \text{VEV}$$

[Morrison, Taylor] (2012) [Heckman, Morrison, Vafa] (2013)

[Heckman, Morrison, Rudelius, Vafa] (2015)

- Key object in tensor branch: “self-dual strings”
 - D3-branes wrapping 2-cycles
 - Analogous to 4d W-bosons/monopoles/dyons in Coulomb branch
- half-BPS strings in 6d (1,0) theory: 2d $N=(0,4)$ SCFTs on worldsheet
 - Using 2d approach, one can microscopic study a subsector of 6d SCFT
 - related to other problems (Yang-Mills instantons, compactification of 4d isolated SCFT)
 - key ingredient to study some 6d CFT observables (e.g. superconformal index)



The “atoms”

- 6d minimal SCFTs [Witten] [Morrison, Vafa] [Haghighat, Klemm, Lockhart, Vafa] (1996)
 - defined by two conditions: has 1 tensor multiplet & non-Higgsable gauge symmetry
 - building blocks of complicated SCFTs

n	1	2	3	4	5	6	7	8	12
gauge symmetry	-	-	$SU(3)$	$SO(8)$	F_4	E_6	E_7	E_7	E_8
global symmetry	E_8	-	-	-	-	-	-	-	-
matters	-	-	-	-	-	-	$\frac{1}{2}\mathbf{56}$	-	-

- Effective field theory in the tensor branch
 - 6d SYM + hypermultiplets, coupled to tensor multiplets
 - Severely restricted by consistency conditions (anomaly cancelation)

$$S_{v+t}^{\text{bos}} = \int \left[\frac{1}{2} d\Phi \wedge \star d\Phi + \frac{1}{2} H \wedge \star H \right] + \sqrt{c} \int [-\Phi \text{tr}(F \wedge \star F) + B \wedge \text{tr}(F \wedge F)]$$

$$H \equiv dB + \sqrt{c} \text{tr} \left(AdA - \frac{2i}{3} A^3 \right)$$

- To make more complicated 6d SCFTs from the minimal SCFTs,
 - combine minimal SCFTs to make “quivers” (tensor scalar sets Yang-Mills coupling)
 - “unHiggs” to bigger gauge groups w/ more hypermultiplet matters

6d super-Yang-Mills & soliton strings

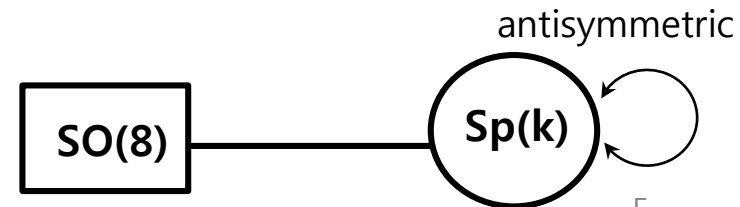
- minimal SCFTs w/ gauge symmetry:

n	1	2	3	4	5	6	7	8	12
gauge symmetry	-	-	$SU(3)$	$SO(8)$	F_4	E_6	E_7	E_7	E_8
global symmetry	E_8	-	-	-	-	-	-	-	-
matters	-	-	-	-	-	-	$\frac{1}{2}\mathbf{56}$	-	-

- Self-dual strings are soliton strings

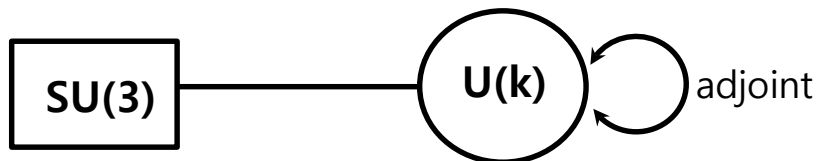
$$F_{\mu\nu} = \star_4 F_{\mu\nu} \quad k \equiv \frac{1}{8\pi^2} \int \text{tr}(F \wedge F) \in \mathbb{Z} \quad S \leftarrow \int B \wedge \text{tr}(F \wedge F)$$

- 4d instantons: represents vacuum tunneling
- 5d “instantons” = particles: important roles in 5d Yang-Mills descriptions of 5d/6d SCFTs
- 6d instanton strings: Their quantum dynamics is surprisingly ill-understood...
- Classical gauge group: ADHM construction suggests 2d gauge theories on these strings.
- Apparently simple cases: $n=3,4$ w/ $SU(3)$, $SO(8)$
- $n=4$: [Haghighat, Klemm, Lockhart, Vafa]
- $SO(8)$ ADHM: 2d $Sp(k)$ gauge theory for k strings



Strings of minimal SCFTs: $n=3$

- $SU(3)$ is **smallest non-Higgsable gauge group** (non-Higgsable $SU(2)$ is forbidden)
- Naively, one can guess from $SU(3)$ ADHM construction
- $SU(3)$ ADHM for k instantons:



- $U(k)$ anomaly doesn't cancel:

$$\text{tr}_R(T^a T^b) = D_R \delta^{ab}$$

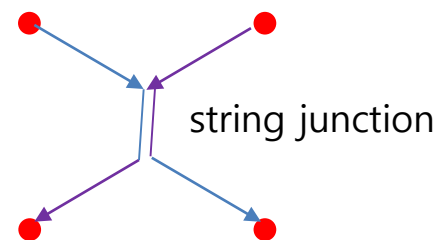
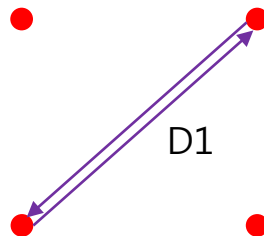
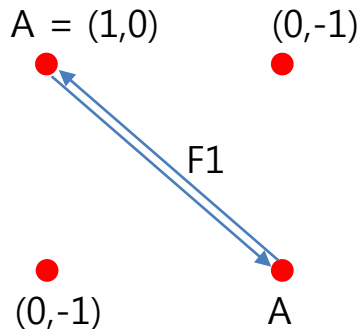
$$\sim 2 \cdot 3 \cdot 1 + 2 \cdot 2k - 2 \cdot 2k = 6 \neq 0$$

$$D_k = 1$$

$$D_{\text{adj}} = 2k$$

fields	$U(k)$	$SU(3)$	$SU(2)_F$	$SU(2)_1$	$SU(2)_2$
$\lambda_{\dot{\alpha}A-}$	adj	1	1	2	2
$q_{\dot{\alpha}}(-\rightarrow \psi_{A+})$	k	3	1	2	1
$a_{\alpha\dot{\beta}}(-\rightarrow \chi_{\alpha A+})$	adj	1	2	2	1

- The failure is “natural”: “nonperturbative” “exceptional” $SU(3)$ [Grassi, Halverson, Shaneson]



The cure for SU(3)

- Strategy: bottom-up approach, cure the pathology of naïve SU(3) quiver.
- Proposal: can't make N=(0,4) gauge theory uplift, but can do N=(0,2) uplift.

- Add the following N=(0,2) matters:

(ϕ, χ) : chiral multiplet in $(\bar{k}, \bar{3})$

$(b_{1,2}, \xi_{1,2})$: two chiral multiplet in $(\overline{\text{anti}}, 1)$

“dual” description of N=(0,2) Fermi multiplets:
needed to introduce subtle interactions

$\hat{V} = (\hat{A}_-, \hat{\lambda}, \bar{\mu}, \hat{D})$: complex vector multiplet in (sym, 1)

$(\check{\lambda}, G_{\check{\lambda}})$: complex Fermi multiplet in (sym, 1)

$v = (a_-, \zeta, \bar{\mu}_{\zeta}, D_{\zeta})$: complex vector multiplet in $(\bar{k}, 1)$

- U(k) anomalies cancel.
- Potentials? (correct symmetries & moduli space)
- 2 standard superpotentials associated with a Fermi multiplet: e.g. at k=1,

$$J_{\check{\lambda}} = \phi \tilde{q}, \quad E_{\check{\lambda}} = 0$$

- New D-term-like interactions: super-partners include chiral constraints

$$\mathcal{L}_{\text{int}} = \int d^2\theta F(\Phi, \bar{\Phi}) \hat{V} + c.c.$$

$$\mathcal{L}_{\text{int}} = \int d^2\theta \left(\alpha q^{\dagger} \hat{V} \phi + \beta \epsilon^{ijk} q_i \phi_j v \tilde{q}_k^{\dagger} \right) + c.c.$$

$$= \hat{D}F - \sqrt{2}i\bar{\mu} \frac{\partial F}{\partial \bar{\phi}_i} \bar{\psi}_i + \sqrt{2}i \frac{\partial F}{\partial \phi_i} \psi_i \lambda - i\hat{A}_- \left(\frac{\partial F}{\partial \phi_i} D_+ \phi_i - \frac{\partial F}{\partial \bar{\phi}_i} D_+ \bar{\phi}_i + \frac{\partial^2 F}{\partial \phi_i \partial \bar{\phi}_j} \psi_i \bar{\psi}_j \right) + c.c.$$

- Chiral constraints are hard in Lagrangian: presumably break manifest Lorentz symmetry
- Constraints are easy to impose in UV (weakly coupled): basically like chiral bosons

The moduli space, NLSM & UV completion

- Solving the zero potential condition, we find

$$V(\phi_{\text{ADHM}}, \phi_{\text{others}}) = V_1(\phi_{\text{ADHM}}) + V_2(\phi_{\text{others}}, \phi_{\text{ADHM}})$$

- Extra fields = 0. The ADHM fields satisfy

$$D^I \equiv q_{\dot{\alpha}}(\tau^I)^{\dot{\alpha}}_{\dot{\beta}} \bar{q}^{\dot{\beta}} + (\tau^I)^{\dot{\alpha}}_{\dot{\beta}} [a_{\alpha\dot{\alpha}}, a^{\alpha\dot{\beta}}] = 0$$

- The moduli space becomes hyper-Kähler: supports (0,4) enhancement of NLSM in IR

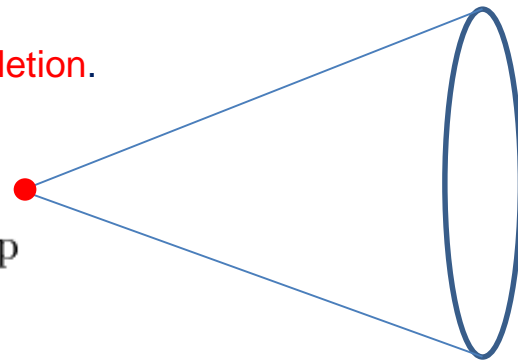
- Non-linear sigma model in IR: (expect from instanton's moduli space approximation)

$$S_{2d} = \int d^2x \left[-g_{MN}(X) \partial_\mu X^M \partial^\mu X^N + \dots \right]$$

coordinates of $4c_2k = 12k$
dimensional instanton moduli space

- Moduli space is singular: small instanton singularity. NLSM is incomplete.
- Deep in the Higgs branch, NLSM description shouldn't change.
- Other fields: extra d.o.f. localized at small instanton singularity. **UV completion.**

ϕ_{extra} are massless only at the tip



Other observables

- elliptic genus: (w/ chemical potentials ~ mass parameters which lift noncompact moduli space)

$$Z_k(\tau, \epsilon_{1,2}, m_a) = \text{Tr} \left[(-1)^F e^{2\pi i \tau H_+} e^{2\pi i \bar{\tau} H_-} e^{2\pi i \epsilon_1 (J_1 + J_R)} e^{2\pi i \epsilon_2 (J_2 + J_R)} \cdot \prod_{a \in \text{flavor}} e^{2\pi i m_a F_a} \right]$$

$$H_{\pm} \equiv \frac{H \pm P}{2} \quad H_- \sim \{Q, \bar{Q}\}$$

- Easily computable if we have a UV gauge theory. [Benini, Eager, Hori, Tachikawa]

- For simplicity, let us consider single string: (similar works done for higher k's)

$$Z_1^{SU(3)}(v, \epsilon_{1,2}) = -\frac{\eta^2}{\theta_1(\epsilon_{1,2})} \sum_{i=1}^3 \frac{\eta^4 \theta_1(4\epsilon_+ - 2v_i) \theta_1(v_i)}{\prod_{j(\neq i)} \theta_1(v_{ij}) \theta_1(2\epsilon_+ - v_{ij}) \theta_1(2\epsilon_+ + v_j)}$$

- $v_{i=1,2,3}$: SU(3) chemical potentials
- $\epsilon_{1,2}$: chemical potentials for SO(4) rotation & SU(2)_R

- This is very rich data. One can make highly nontrivial tests of our theory with it.

Relation to topological strings on CY3

- Elliptic genus is defined after compactifying spatial circle.
- T-dualize F-theory on $R^{4,1} \times S^1 \times CY_3 \sim$ type IIB on $R^{4,1} \times S^1 \times B_4$
- M-theory on $R^{4,1} \times$ [same CY_3]
 - #(self-dual strings) = D3 charge (IIB) \sim D2 charge (IIA) \sim M2 charge (M)
 - P (IIB) \sim F1 winding (IIA) \sim M2 wrapped on T^2 fiber
 - BPS states counted by elliptic genus = BPS states of wrapped M2-branes on CY_3
 - This is basically counted by topological string amplitudes, or more precisely by the “Gopakumar-Vafa invariants” at strong coupling limit of topological strings
- We have abundant “experimental data” on the elliptic genus from topological strings.
- Strings of 6d minimal SCFTs: studied in [Haghighat, Klemm, Lockhart, Vafa] 2014

Tests

- Partial data known from topological strings at $k=1,2,3$ [Haghighat, Klemm, Lockart, Vafa]

$$\log Z(\tau, \epsilon_+, \epsilon_+, \mu) = \sum_{g \geq 0, n \geq 0} (\epsilon_1 \epsilon_2)^{g-1} (\epsilon_1 + \epsilon_2)^n F_{g,n}(\tau, \mu)$$

- E.g. at $k=1$, our gauge theory yields

$$F_{0,0} = - \left[\frac{\theta_1(2v_1)\theta_1(v_1)}{\theta_1(v_{12})^2\theta_1(v_{13})^2\theta_1(v_2)\theta_1(v_3)} + (1, 2, 3 \rightarrow 2, 3, 1) + (1, 2, 3 \rightarrow 3, 1, 2) \right]$$

$$= e^{-\pi i \tau + 2\pi i v_{12} + 2\pi i v_{23}} \sum_{d_0, d_1, d_2=0}^{\infty} N_{d_0, d_1, d_2} \left(\frac{e^{2\pi i \tau}}{e^{2\pi i v_{12}} e^{2\pi i v_{23}}} \right)^{d_0} e^{2\pi d_1 v_{12}} e^{2\pi d_2 v_{23}}$$

$d_1 \setminus d_2$	0	1	2	3	4	5
0	1	3	5	7	9	11
1	3	4	8	12	16	20
2	5	8	9	15	21	27
3	7	12	15	16	24	32
4	9	16	21	24	25	35
5	11	20	27	32	35	36

Table 1: q^0

$d_1 \setminus d_2$	0	1	2	3	4	5
0	5	8	9	15	21	27
1	8	36	56	96	144	192
2	9	56	149	288	465	651
3	15	96	288	456	735	1080
4	21	144	465	735	954	1371
5	27	192	651	1080	1371	1632

Table 3: q^2

$d_1 \setminus d_2$	0	1	2	3	4	5
0	3	4	8	12	16	20
1	4	16	36	60	84	108
2	8	36	56	96	144	192
3	12	60	96	120	180	252
4	16	84	144	180	208	288
5	20	108	192	252	288	320

Table 2: q^1

$d_1 \setminus d_2$	0	1	2	3	4	5
0	7	12	15	16	24	32
1	12	60	96	120	180	252
2	15	96	288	456	735	1080
3	16	120	456	1012	1788	2796
4	24	180	735	1788	2823	4356
5	32	252	1080	2796	4356	5760

Table 4: q^3

black numbers:
computed from
topological strings

red: our prediction

complete agreement
in black numbers

UnHiggsing SU(3)

- 6d Higgsings are reflected in 2d QFT as massive deformations
- Allowed unHiggsing sequences: all exceptional ~ “terminates after finite sequence”

$$(SU(3)) \leftarrow (G_2, n_7 = 1) \leftarrow (SO(7), n_7 = 0, n_8 = 2) \leftarrow (SO(8), n_{8_v} = n_{8_s} = n_{8_c} = 1) \leftarrow \left\{ \begin{array}{l} (SO(N), n_N = N - 7, n_S = \dots)_{N=9, \dots, 12} \\ (F_4, n_{26} = 2) \leftarrow (E_6, n_{27} = 3) \leftarrow (E_7, n_{\frac{1}{2}56} = 5) \leftarrow (E_8, n_{\text{inst}} = 9) \end{array} \right.$$

- Progress w/ G_2 instantons, and $SO(7)$ w/ matters in spinor rep. [work in progress]
- Exceptional instantons' elliptic genera & 1d Witten indices: e.g. G_2 single instanton in 1d

$$Z_1^{G_2} = \frac{t^{\frac{3}{2}}(1+t)(1+t\chi_7^{G_2}(v)+t^2)}{\prod_{i<j}(1-te^{-v_{ij}})(1-te^{v_{ij}})} = t^{\frac{3}{2}} \sum_{n=0}^{\infty} \chi_{(0,n)}^{G_2}(v) t^n$$

[Cremonesi, Ferlito, Hanany, Mekareeya]

$$= \oint \frac{d\phi}{2\pi i} \frac{2 \sinh \epsilon_+ \cdot 2 \sinh \phi \cdot 2 \sinh(\epsilon_+ - \phi) \cdot 2 \sinh \frac{\epsilon_+ + \phi}{2}}{2 \sinh \frac{\epsilon_+ \pm (u - v_{1,2,3})}{2} \cdot 2 \sinh \frac{\epsilon_+ - \phi - v_{1,2,3}}{2}} \cdot \frac{1}{2 \sinh \frac{\epsilon_+ \pm \phi}{2}}$$

$$= \sum_{i=1}^3 \frac{2 \sinh(2\epsilon_+ - v_i) \cdot 2 \sinh \frac{v_i}{2}}{\prod_{j(\neq i)} 2 \sinh \frac{v_{ij}}{2} \cdot 2 \sinh \frac{2\epsilon_+ - v_{ij}}{2} \cdot 2 \sinh \frac{2\epsilon_+ + v_j}{2}} \cdot \frac{1}{2 \sinh \frac{v_i}{2} \cdot 2 \sinh \frac{2\epsilon_+ - v_i}{2}}$$

one G_2 instanton partition function
from 1d gauge theory

Concluding remarks

- 6d CFTs are hard. Even the 2d QFTs on solitons are hard for many 6d theories.
- We are getting solid results on 2d gauge theories on self-dual strings:
 - related to exceptional instantons' ADHM-like descriptions
 - Extensions to all exceptional instantons?
(new ideas: using $N=(0,2)$ UV completions, new gauge theory interactions, ...)
- New “viewpoints / computable observables / techniques” for higher dimensional SCFTs under constructions: posing modern challenges to quantum field theorists
- Probing isolated SCFTs via compactifications:
 - 6d (2,0) on Riemann surface $\Sigma_2 \sim M5$'s wrapping Σ_2 : 4d $N=2$ SCFTs [Gaiotto] [AGT]
 - D3 + 7-branes wrapping S^2 's (w/ 7-brane punctures): 2d $N=(0,4)$ CFTs for self-dual strings
 - Related to 4d exceptional SCFTs via compactification to 2d.